Driven Dissipative d-Wave Pairing of Atomic Fermions

S. Diehl,1,2 W. Yi,1,3 A. J. Daley,1,2 and P. Zoller1,2

1Institute for Quantum Optics and Quantum Information of the Austrian Academy of Sciences, A-6020 Innsbruck, Austria
2Institute for Theoretical Physics, University of Innsbruck, A-6020 Innsbruck, Austria
3Key Laboratory of Quantum Information, University of Science and Technology of China, CAS, Hefei, Anhui,230026, People’s Republic of China

We show how dissipative dynamics can give rise to pairing for two-component fermions on a lattice. In particular, we construct a “parent” Liouvillian operator so that a BCS-type state of a given symmetry, e.g., a d-wave state, is reached for arbitrary initial states in the absence of conservative forces. The system-bath couplings describe single-particle, number conserving and quasi-local processes. The pairing mechanism crucially relies on Fermi statistics. We show how such Liouvillians can be realized via reservoir engineering with cold atoms representing a driven dissipative dynamics.

Pairing in condensed matter physics in general, and in atomic quantum gases in particular, is associated with conservative forces between particles, e.g., in Cooper pairs or molecular BEC pairs [1]. Lattice dynamics give rise to exotic forms of pairing, such as the expected formation of d-wave Cooper pairs of fermions for a 2D Hubbard model for repulsive interactions, as discussed in the context of high-Tc superconductivity [2], but also condensates of η-pairs [3], and the formation of repulsively bound atom pairs [4]. Here we show that purely dissipative dynamics, induced by coupling the system to a bath, can give rise to a “dissipative pairing”, even in the complete absence of conservative forces. This is in contrast to pairing arising from bath-mediated interactions (e.g., phonon-mediated Cooper pairing). We will discuss how reservoir engineering provides opportunities for experimental realisation of this dissipative pairing mechanism with cold atomic fermions in optical lattices [5].

Below we treat the example of a d-wave-paired BCS state of two-component fermions in two dimensions (2D), showing how the pairing can be generated via purely dissipative processes. A BCS-type state is the conceptually simplest many-body wave function describing a condensate of N paired spin-1/2 fermionic particles, \(|\text{BCS}_N\rangle \sim (d^\dagger)^{N/2}|\text{vac}\rangle\). On a square lattice, and assuming singlet pairs with zero center-of-mass momentum, we have \(d^\dagger = \sum_q \phi^q c^q\dagger \phi^q\) or \(d^\dagger = \sum_{ij} \phi_{ij} c^\dagger_{i+\ell} c^\dagger_{i-\ell}\), where \(c^\dagger_{i,q}\) (\(c_{i,q}\)) denotes the creation operator for fermions with momentum (position) wave function of the pairs. For d-wave pairing, the pair wave function obeys \(\phi_{q+} = -\phi^q_{-q+}\) and \(\phi_{q-} = \phi^q_{-q-}\), and below we choose \(\phi_{q} = \cos q_x - \cos q_y\) or \(\phi_{ij} = \frac{1}{2} \sum_{\ell=\pm x,y} \rho_{\ell}(\delta_{i+\ell,j+\ell} + \delta_{i+\ell,j-\ell})\) with \(\rho_x = -\rho_y = 1\) corresponding to the limit of well localized pairs (see Fig. 1a). For reference below we remark that in BCS theory, with pairing induced by coherent interactions, the corresponding energy gap function would be \(E_q = \Delta (\cos q_x - \cos q_y)\) in the molecular limit. The dissipative pairing mechanism is readily generalized to other pairing symmetries, such as e.g. \(p_x + ip_y\) as long as the pairing is not onsite.

While in the standard scenario BCS-type states are typically used as variational mean-field wavefunctions to describe pairing due to interactions, here the system is dissipatively driven towards the (pure) many-body BCS state, \(\rho(t) = e^{\mathcal{L}_t} \rho(0)\) \(\overset{\text{comm}}{\Rightarrow} \langle\text{BCS}_N\rangle\langle\text{BCS}_N\rangle\), beginning from an arbitrary initial mixed state \(\rho(0)\). The dynamics of the density matrix for the N-particle system \(\rho(t)\) is generated by a Liouville operator with the structure \(\mathcal{L}_i = -iH_{\text{eff}}\rho + i\hbar H_{\text{eff}}^\dagger + \kappa \sum_{\ell} j_{\ell} j_{\ell}^\dagger\) with non-hermitian effective Hamiltonian \(H_{\text{eff}} = H - \frac{i}{2}\kappa \sum_{\ell} j_{\ell} j_{\ell}^\dagger\). Here, \(\{j_{\ell}\}\) are non-hermitian Lindblad operators reflecting the system-bath coupling with strength characterized by the rate \(\kappa\). The Hamiltonian \(H\) generates unitary evolution, and will be set to zero for most of the discussion. The pure paired BCS state being the unique steady state of the dissipative dynamics results from the possibility to identify a set of operators with \(j_{\ell}\) \(\text{BCS}_N\rangle = 0\) \(\forall \ell\) [6, 7].

Below, we will identify these operators \(j_{\ell}\) for the d-wave paired BCS states, and in addition study the dynamics close to the final steady state, i.e., near \(\langle\text{BCS}_N\rangle\).
We can then investigate the complex excitation spectrum of $\mathcal{L}$, where, remarkably, we find a dissipative “BCS gap” that implies exponential approach to the steady state.

We can readily check that the Lindblad operators $j_\ell$ generating the d-wave BCS state are given by

$$J_i^\rho = \sum_{\lambda=x,y} \rho_\lambda (c^\dagger_{i+e_\lambda} + c^\dagger_{i-e_\lambda}) \sigma^\alpha c_i,$$  \hspace{1cm} (1)

with 2-spinor $c_i = (c_{i,\uparrow}, c_{i,\downarrow})^T$ and $\sigma^\alpha$ Pauli matrices with $\alpha = \pm, \pm$ or $\alpha = x, y, z$. An explicit construction of these operators will be given below. Remarkably, these operators are bilinear and number conserving, corresponding to dissipative generation of pairing from single-particle processes. They are also quasi-local operators, involving only a plaquette of nearest neighbor sites (see Fig. 1a).

Before entering the more technical discussion of obtaining these $J_i^\rho$, we discuss the dynamics for states close to the final state $\{|BCS_N\rangle\}$, where the physics is particularly transparent and analogous to the usual case of interaction-induced pairing in BCS theory can be made. For states close to $\{|BCS_N\rangle\}$ we can linearize the master equation dynamics using a Bogoliubov-type approach. For this purpose it is convenient to give up exact particle number conservation, and we work with fixed phase coherent states $\{|BCS_\theta\rangle\} = \mathcal{N}^{-1/2} \exp(e^{i\theta} d^\dagger) |\text{vac}\rangle$ instead of the number states $\{|BCS_N\rangle\}$ [1], where $\mathcal{N} = \prod_q (1 + \varphi_q^2)$ ensures the normalization. The density matrix for these states factorizes as $\rho = \mathcal{N}^{-1/2} (1 + e^{i\theta} \varphi_q c_q^\dagger c_q) |\text{vac}\rangle$. At large times, we can then expand the state around $\{|BCS_\theta\rangle\}$ with the ansatz $\rho = \prod_q \rho_q$, where $\rho_q$ contains the modes $\pm(q, \sigma)$ necessary to describe pairing. Using the projection prescription $\rho_q = \text{tr}_q \rho$, we then find the equations of motion for the single pair density matrices $\rho_q$ in the presence of nonzero mean fields resulting from a coupling to other momentum modes, whose values are dictated by the proximity to the final state. The resulting effective Hamiltonian is quadratic:

$$H_{\text{eff}} = -i \sum_{q, \sigma} \left\{ \tilde{n}_q c_{q,\sigma}^\dagger c_{q,\sigma} + |\varphi_q|^2 c_{q,\sigma} c_{q,\sigma}^\dagger \right\} + \tilde{\Delta}_q s_\sigma c_{-q,\sigma}^\dagger c_{q,\sigma} + h.c. \right\} = -\frac{i}{2} \sum_{q, \sigma} \kappa_q \gamma_{q,\sigma} \gamma_{q,\sigma}^\dagger,$$  \hspace{1cm} (2)

with $s_\uparrow = 1, s_\downarrow = 1$ and dimensionless “gap function” $\Delta_q = \tilde{\Delta}_q e^{-i\varphi_q}$, and where the diagonal and off diagonal mean fields evaluate to $\tilde{n} = |\Delta| = 2 \int \frac{dq}{\pi} \frac{|\varphi_q|^2}{1 + |\varphi_q|^2} \approx 0.72$ on the d-wave state. We diagonalize $H_{\text{eff}}$ in the second line, introducing quasiparticle Lindblad operators

$$\gamma_{q,\sigma} = (1 + \varphi_q^2)^{-1/2} (c_{q,\sigma} - s_\sigma \varphi_q c_{q,-\sigma}^\dagger).$$

In this basis, the resulting master equation reads $\partial_t \rho = -iH_{\text{eff}} \rho + i[H_{\text{eff}}, \rho] + \sum_{q, \sigma} \kappa_q \gamma_{q,\sigma}^\dagger \rho \gamma_{q,\sigma}$.

The dissipative gap implies an exponential approach to the steady d-wave BCS state for long times. This can be most easily seen in a quantum trajectory representation of the master equation, where the time evolution of the system is described by a stochastic system wavefunction $|\psi(t)\rangle$ undergoing a time evolution with non-hermitian Hamiltonian $|\psi(t)\rangle = e^{-iH_{\text{eff}} t} |\psi(0)\rangle$. If the system is initialized in the initial state $|\psi(0)\rangle$, the probability density $P(t) = \langle |\psi(t)\rangle |\psi(t)\rangle$ is exponentially decaying for large times.

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This convergence to a unique pure state is illustrated in Fig. 2 using numerical simulations for small systems. In Fig. 2a we show the entropy of the full density matrix for $|\psi(t)\rangle$ undergoing evolution under the master equation with Lindblad operators from Eq. (1). (a) Entropy computed exactly for four atoms on a 4x1 lattice, showing exponential convergence from a completely mixed state to a pure state. (b) Fidelity to the d-wave BCS state, $P(|\psi^{\text{BCS}}\rangle |\psi(t)\rangle)$ with 4 atoms on a 4x4 grid, computed via a quantum trajectories method (see text). Dashed lines show sampling error.

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Lindblad operators for D-wave states – We now turn to the construction of the Lindblad operators for the d-wave BCS state as given in Eq. (1). We will perform this construction first for an antiferromagnetic Néel state at half filling, and then generalize to the BCS state. Our task can be formulated as finding for a given many-body state $|d\rangle$ a set of (non-hermitian) Lindblad operators $j_\ell$ so that it becomes the unique dark state, $j_\ell |d\rangle = 0 \forall \ell$. 

FIG. 2: Numerical illustration of the uniqueness of the steady state, showing evolution under the master equation with Lindblad operators from Eq. (1). (a) Entropy computed exactly for four atoms on a 4x1 lattice, showing exponential convergence from a completely mixed state to a pure state. (b) Fidelity to the d-wave BCS state, $P(|\psi^{\text{BCS}}\rangle |\psi(t)\rangle)$ with 4 atoms on a 4x4 grid, computed via a quantum trajectories method (see text). Dashed lines show sampling error.

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Both the Néel and the BCS state have product form, 
\[ |d\rangle = \prod_m d_m^\dagger |\text{vac}\rangle \]
Thus we note as a sufficient dark state condition 
\[ [j_i, d_m^\dagger] = 0 \] 
There are two antiferromagnetic Néel states at half filling \[ |N^+\rangle = \prod_{i \in A} c_i^\dagger + e_+ c_i^\dagger |\text{vac}\rangle \]
\[ |N^-\rangle = \prod_{i \in A} c_i^\dagger + e_- c_i^\dagger |\text{vac}\rangle \]
with \( A \) a sublattice in a two-dimensional bipartite (square) lattice, which differ by an overall spin flip. Introducing “Néel unit cell operators” \( \hat{S}_i^a \) where \( a = \pm, e_\sigma = \{\pm e_x, \pm e_y\} \), whose usefulness will become apparent soon, the state can be written in eight different forms, \[ |N^\pm\rangle = (-1)^{M/2} \prod_{i \in B} \hat{S}_{i,-\nu}^\dagger |\text{vac}\rangle \] with \( M \) the lattice size. We then see that the Lindblad operators must obey \[ [j_{i,\nu}^a, \hat{S}_{i,\mu}^b] = 0 \] for all \( i, j \) located on the same sublattice \( A \) or \( B \), which is fulfilled for the set 
\[ j_{i,\nu}^a = c_i^\dagger + e_\sigma c_i, \quad i \in A \text{ or } B. \] 
Note that these operators can be obtained from \( \hat{S}_{i,\nu}^a \) by a particle-hole transformation \( c_i^\dagger \rightarrow c_i^\dagger + e_\sigma c_i \) on the central site \( i \). For the action of the operators \( j_{i,\nu}^a \), the assumption of fermionic statistics is essential, as illustrated in Fig. 1b: they generate spin flipping transport according to e.g. \( j_{i,\nu}^a = c_i^\dagger + e_\sigma c_i^\dagger \), which is not possible when the antiferromagnetic order is already present. The proof of uniqueness of the Néel steady state up to double degeneracy is then trivial: The steady state must fulfill the quasilocal condition that for any site occupied by a certain spin, its neighboring sites must be filled by opposite spins. For half filling, the only states with this property are \( |N^\pm\rangle \). The residual twofold degeneracy can be lifted by adding a single operator \( j_i = c_i^\dagger + e_\sigma (1 + \sigma^z) c_i \) on an arbitrary site \( i \).

To find the Lindblad operators for the d-wave BCS state, we apply a similar strategy. We first rewrite the d-wave generator using the operators \( \hat{S}_{i,\nu}^a \)
\[ d^\dagger = \tfrac{1}{2} \sum_i (c_i^\dagger + e_\sigma c_i^\dagger - c_i^\dagger + e_\sigma c_i) \sigma^\nu c_i^\dagger = \tfrac{1}{2} \sum_i \hat{D}_{i,\nu}^a, \]
\[ \hat{D}_{i,\nu}^a = \sum_\nu \rho_{\nu} \hat{S}_{i,\nu}^a \]
where \( \rho_{\pm x} = -\rho_{\pm y} = 1 \), and the quasilocal d-wave pair \( \hat{D}_{i,\nu}^a \) may be seen as the “d-wave unit cell operators”. Note the freedom of choosing \( \sigma = \pm \) in writing the state. This form makes the physical picture of a d-wave superfluid as delocalized antiferromagnetic order away from half filling [2] particularly apparent. The condition \[ [j_{i,\nu}^a, \sum_j \hat{D}_{j,\mu}^a] = 0 \] \((\alpha = (a, z))\) is fulfilled by 
\[ j_{i,\nu}^a = \sum_\nu \rho_{\nu} j_{i,\nu}^a, \quad j_{i}^a = \sum_\nu \rho_{\nu} j_{i,\nu}^a, \]
with \( j_{i,\nu}^a = c_i^\dagger + e_\sigma \sigma^\nu c_i \) establishing Eq. [1]. Similar to above, each \( j_{i,\nu}^a \) is obtained from \( \hat{D}_{i,\nu}^a \) by a particle-hole transformation on the central site \( i \). In fact, for these operators the stronger quasilocal commutation properties with the molecular d-wave pairs holds due to Eq. [3]: \[ [j_{i,\nu}^a, \hat{D}_{j,\mu}^a] = 0 \] for all \( i, j \) in the same sublattice, which relies again on fermionic statistics. In contrast, the operators \( j_{i}^a \) only commute with the symmetric superposition of all d-wave pairs \( \hat{D}_{j}^a \). These operators establish coherence via phase locking between adjacent cloverleaves of sites.

The dark state uniqueness for the Lindblad operators [1] is equivalent to the uniqueness of the ground state of the associated hermitian Hamiltonian \( H = V \sum_{i,\alpha=\pm,z} j_{i}^a j_{i}^a \) for \( V > 0 \). We note that our BCS state shares the symmetries of the Hamiltonian of global phase and spin rotations, and translation invariance. Assuming that no other symmetries exist, we then expect the ground state to be unique. Note, however, the necessity of the full set \( \{j_{i}^a\} \) gives rise to an additional discrete symmetry in \( H \) resulting in ground state degeneracy. These results are confirmed with numerical simulations for small system sizes and periodic boundary conditions, as shown in Fig. 2.

The above construction method allow us to find “parent” Lindblad operators for a much wider class of given BCS-type states. For example, for a \( p_x + ip_y \)-wave state of spinless fermions, generated by \( p^\dagger \sim \sum_\nu \rho_{\nu} c_i^\dagger + e_\sigma c_i \) with \( \rho_x = -\rho_{-x} = -\rho_{-y} = \rho_{-y} = 1 \), the Lindblad operators are \( j_{i} = \sum_\nu \rho_{\nu} c_i^\dagger + e_\sigma c_i \). More generally, they can be obtained for any fixed number pairing state where the pairing is bilocal [3]. Note, however, that the construction is not applicable for the seemingly simplest onsite (singlet) pairing states – the analogs of Eq. [1] become local, such that the lattice sites decouple and no phase coherence can be built up.

**Physical Implementation** – The quasilocal and number-conserving form of \( j_{i}^a \) raises the possibility to realise dissipative pairing via reservoir engineering with cold atoms. We illustrate this, considering alkaline earth-like atoms [10] with nuclear spin (e.g., \( I = 1/2 \) for \(^{171}\text{Yb})\), and a metastable \(^3\text{P}_0\) manifold which can be trapped independently to the ground \(^1\text{S}_0\) manifold. In this setting, one can construct a stroboscopic implementation, where the action of each \( j_{i}^a \) is realised successively. For clarity, we present this initially in 1D, and choose the example of \( j_{i}^a = (c_i^\dagger + c_{i-1}^\dagger) c_i^\dagger \). The implementation is depicted in Fig. 3: (i) The \(^3\text{P}_0\) state is trapped in a lattice of three times the period as that for the \(^1\text{S}_0\) state, defining blocks of three sites in the original lattice. Using this, any \( 1 \) atom in \(^1\text{S}_0\) on central site is excited to the \( \dagger \) state of the \(^3\text{P}_0\) manifold. (ii) By adding an additional potential the traps for \(^3\text{P}_0\) are divided so that atoms confined in them overlap the right and left sites of the original block. (iii) Decay is induced by coupling atoms in the \(^3\text{P}_0\) state off-resonantly to the \(^1\text{P}_1\) state, as depicted in Fig. 3a, with coupling strength \( \Omega \), and detuning \( \Delta \). If we couple the \(^1\text{S}_0\)–\(^1\text{P}_1\) transition to a cavity mode with
linewidth $Γ$ and vacuum Rabi frequency $g$, then the decay will be coherent over the triple of sites. In the limit $Δ ≫ Ω$ and $Γ ≫ Ω$, we obtain an effective decay rate $Γ_{\text{eff}} = \frac{g^2}{Δ^2} ≈ 9\text{kHz}$ for typical parameters. Note that provided atoms remain in the lowest band, Fermi statistics will be respected in this process.

This operation can occur in parallel for different triples, and should be repeated with the superlattice shifted for other central sites. Similar operations combined with rotations of the nuclear spin before and after these operations allows implementation of $J_x^+$ and $J_y^+$. In 2D 3x3 plaquettes are defined by the appropriate superlattice potential for the $^3P_0$ level, and the adiabatic manipulation of the potential in step (ii), should be adjusted to ensure that the correct relative phases are obtained for atoms transported in orthogonal directions.

The d-wave parent Hamiltonian – As a final remark, we note that the effective Hamiltonian above can be generalized to include a coherent interaction $V$,

$$H_{\text{eff}} = (V - \frac{i}{2}κ) \sum_{i,α} J_i^{α+} J_i^α.$$  

For $κ → 0$ and interaction $V > 0$ this Hamiltonian can be identified as a parent Hamiltonian [11] with $|\text{BCS}_N\rangle$ as stable ground state and gapped positive definite excitation spectrum. Intriguingly, we thus observe that this Hamiltonian gives rise to repulsive pairing. This parent Hamiltonian could be realised via a similar procedure to the induced dissipation, replacing the decay in step (iii) with induced interactions between atoms. This opens the possibility to use the d-wave state as an initial state for the preparation of the ground state of the Fermi-Hubbard model by a suitable adiabatic passage [12], where one takes advantage of the fact that the d-wave state has identical symmetry and similar energy to the conjectured Fermi-Hubbard ground state away from half filling, and that in the initial stages the system is protected by a gap $\sim 0.72V$.

Summary – We have discussed the generation of d-wave pairing arising from purely dissipative processes in coupling a system of two-component fermions to a reservoir. At late times, the system exhibits a dissipative pairing gap, indicating exponential approach to a d-wave BCS state. Reservoir engineering in cold gases, e.g., using alkaline earth atoms, provides possibilities to engineer this dissipative pairing mechanism.

We thank A. Gorshkov, K. Hammerer, B. Kraus and A. Kantian for discussions. This work was supported by the Austrian Science Fund through SFB F40 FOQUS and EUROQUAM_DQS (I118-N16), and the EU through IP AQUTE.

* Electronic address: wyiz@ustc.edu.cn

[11] A. Auerbach, Interacting Electrons and Quantum Mag-

[13] The simple algebra emerging at late times contrasts with the properties of $J_\alpha^n$, which do not exhibit such a property.

[14] In one dimension, these states can be parameterized as $|\mu, n, k; N\rangle = O_{k,n,\mu}^\dagger |\text{vac}\rangle$, where $O_{k,n,\mu}^\dagger = \sum_i \exp ikx_i c_i^\dagger \tau^\mu c_i$ and $\tau^\mu = (1, \sigma^\mu)$ with quantum numbers $\mu = 0, \ldots, 3$, "pairing distance" $n = (1, \ldots, M - 1)$, and pairing momentum $k = \left(-\frac{(M - 1)}{2}, \ldots, \frac{(M - 1)}{2}\right) \frac{2\pi}{M}$. See W. Yi et al., to be published.